

APPLICANT: PERRY H. BEAUMONT
CUSTOMER NO. 000037163
EXPRESS MAIL NO. ET 596474689 US

Fig. 1

Please select desired product types:

- ☐ Money market instruments
- ☐ Bonds
- ☐ Stocks
- ☐ Other


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Fig. 2

06  Please select desired types of stocks:

- ☐ U.S. stocks
- ☐ International stocks (excluding the U.S.)
- ☐ Global stocks (the U.S. and outside of the U.S.)

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Fig. 3

Please select the market index(es) that you believe most accurately reflects your market risk and return objectives for the following portion of your portfolio:

Index	Returns for the past...			Volatility for the past...		
	1 year	5 years	10 years	1 year	5 years	10 years
<input type="radio"/> S&P 100						
<input type="radio"/> S&P 500						
<input type="radio"/> Nasdaq 100						
<input type="radio"/> Other						

08 12 10

Fig. 4

Optional: Please select the index(es) or credit quality range that you believe reflects your credit objectives for the following portion of your portfolio:

By index ...

Index	Average credit rating		
	Capitalization-weighted	Previous ratings 1-year	Previous ratings 3-year 5-year
<input type="radio"/> S&P 100			
<input type="radio"/> S&P 500			
<input type="radio"/> Nasdaq 100			
<input type="radio"/> Other			

(Simply click on the above index of interest for additional credit information about the index's characteristics inclusive of the types of products contained in the index)

By credit quality ...

☐ Range between a low of and a high of

☐ An average of but no lower than or higher than

Fig. 5

Optional: Please select the structure types that you believe most accurately reflect your personal structure risk objectives for the following portion of your portfolio: U.S. stocks

Structure type (please click all that apply):

- ☐ The products contained in the index selected in Step three only*, or
- ☐ The products contained in the index selected in Step three and the following (please select all that apply):
 - ☐ Common stock
 - ☐ First preferred
 - ☐ Second preferred
 - ☐ Other

Simply click on any of the terms at left for a brief description.

* Click here to see a summary of products contained within the index(es) you selected

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Fig. 6

Please find below a color-coded pictorial of your Risk Return Profile relative to a comparative portfolio. The length of each colored bar provides a measure of the relative proportion of each exposure type within the comparative portfolio.

Market 43.8%

Structure 26.1%

Credit 30.1%

Your aggregate comparative portfolio profile is here

Key:

- Exposure is within a reasonable range of your expressed preferences
- Exposure is outside range of your expressed preferences
- Exposure is well outside of the range of your expressed preferences

Simply click on the exposure bar of interest for more details on the discrepancies within your portfolio versus your expressed preferences.

Click here to invoke a comparison of your target portfolio with a "prudent investor" or other comparative portfolio

Are there any other additional users to provide a Risk Return Profile?

34 38 36

Fig. 7

You have indicated that more than one investor is to be included in this process of creating a Risk Return Profile.

In order to accurately combine the input of all investors into a single aggregated Risk Return Profile, please answer the following questions:

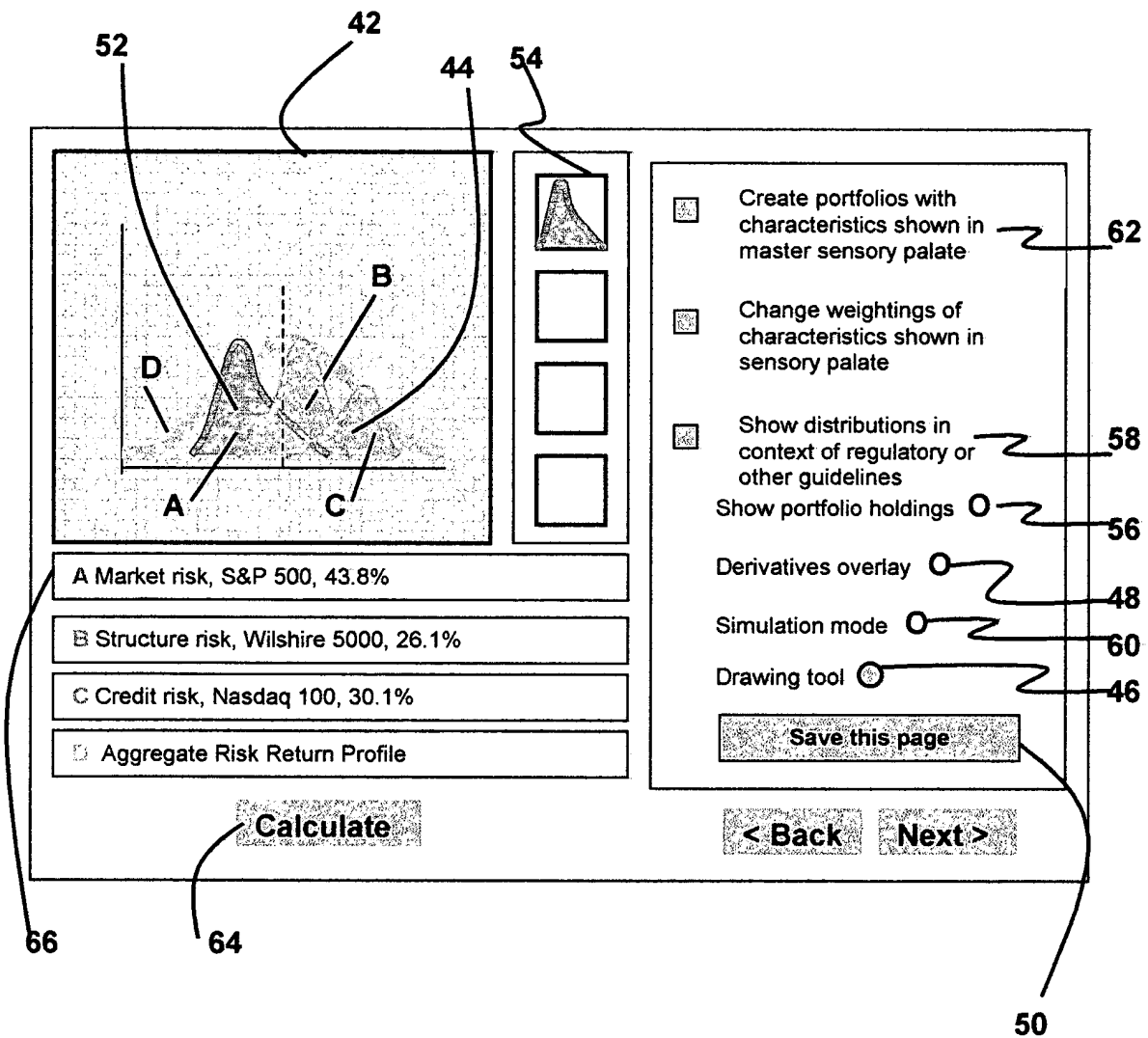
How many investors are to be aggregated into the single profile?

Is each individual investor's profile to be weighted with equal importance when the aggregate profile is created? ☐ Yes ☒ No

Since not all profiles are to be weighted equally, please provide weightings to be used (in percent):

Investor 1: Investor 2: Investor 3:

Fig. 8



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Fig. 9

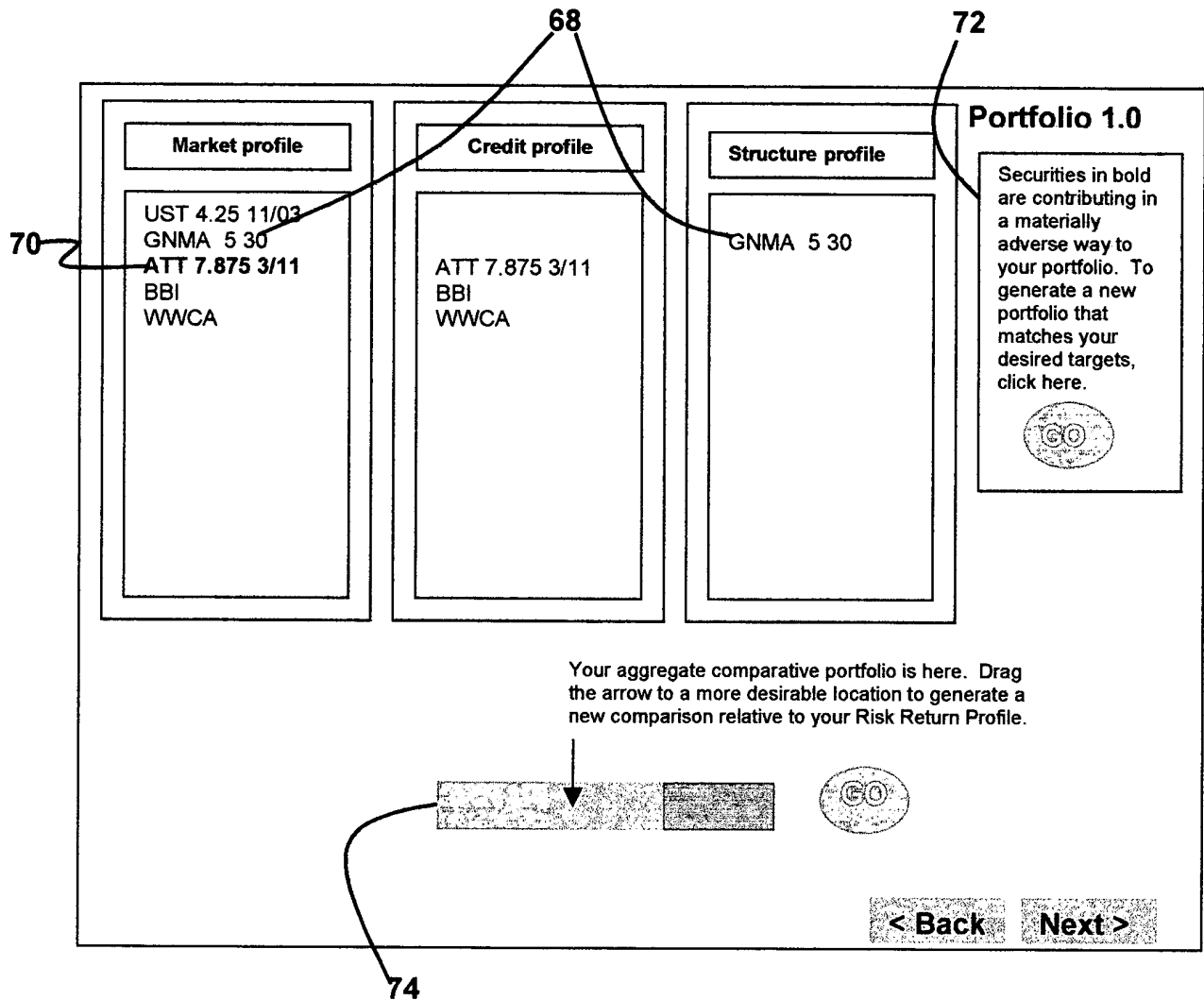


Fig. 10

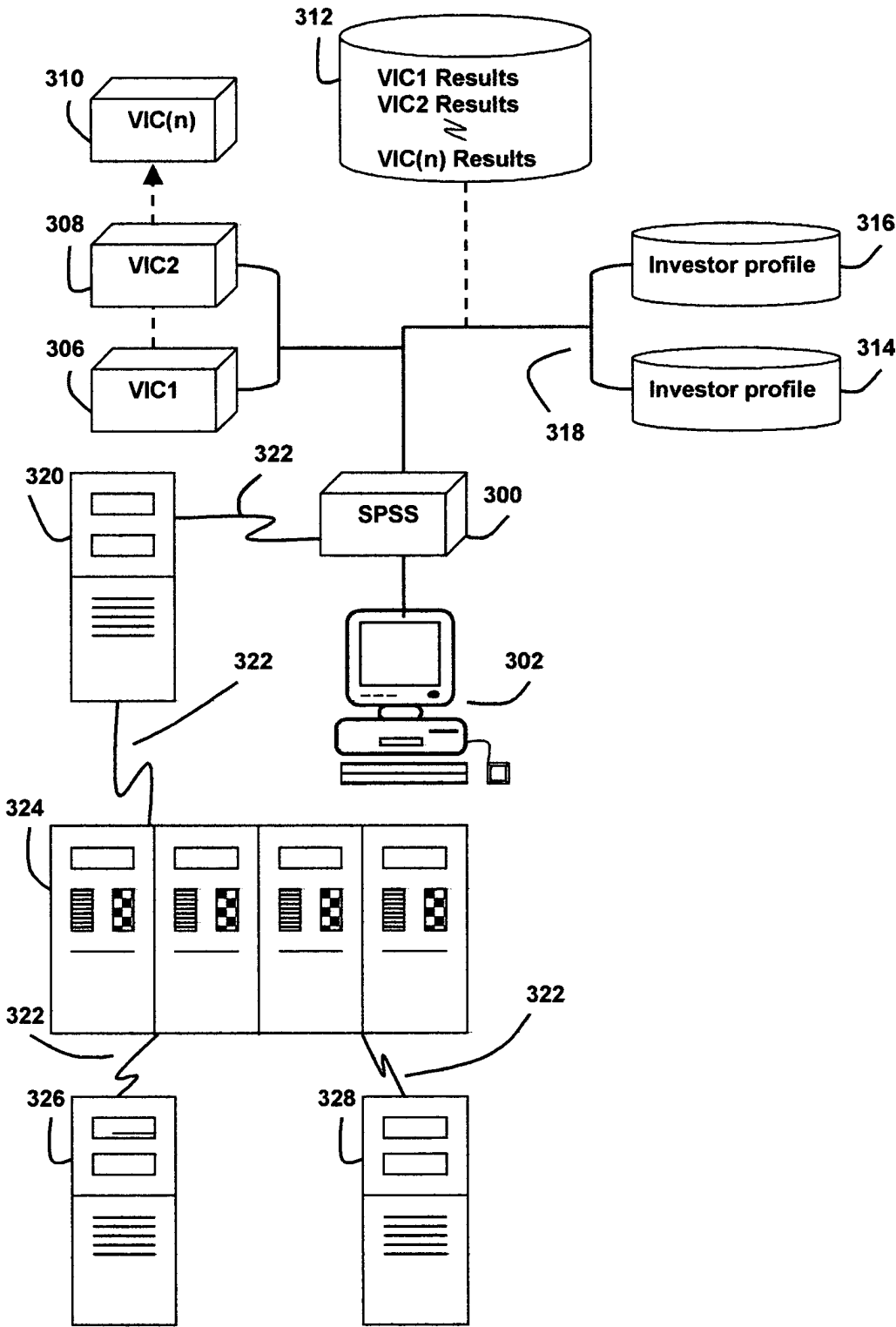


Fig. 11

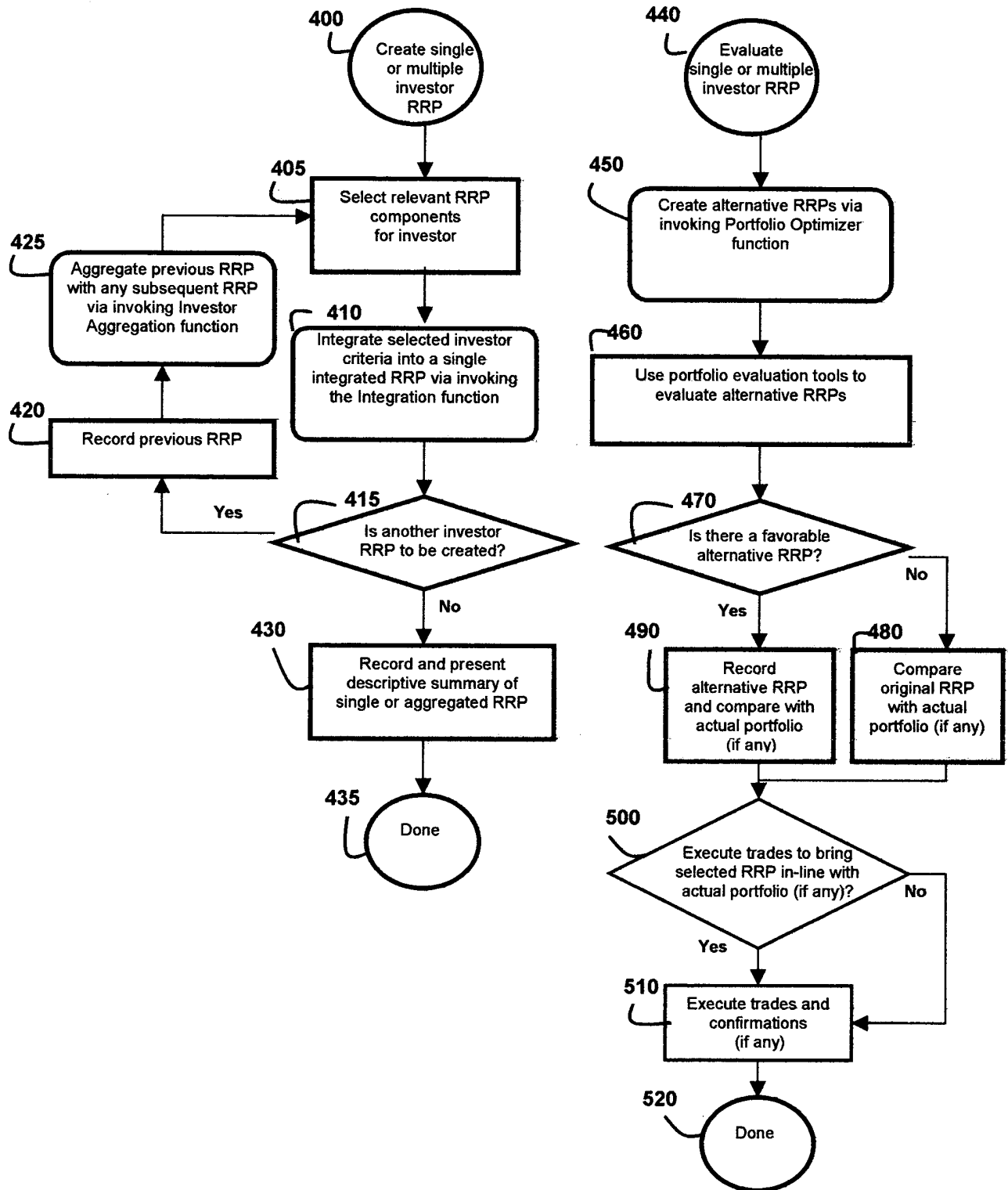


Fig. 12

